



1634
Attorney's Docket No.: 09010-007006
image

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lam, et al. Art Unit : 1634
Serial No. : 09/888,224 Examiner : Ethan C. Whisenant
Filed : June 22, 2001
Title : ENDOGLUCANASES, NUCLEIC ACIDS ENCODING THEM AND METHODS
FOR MAKING AND USING THEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 and §1.98**

Sir:

Enclosed for filing in the above-referenced patent application is a Form PTO-1449, being submitted after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Applicant believes that no fee is required for submission of this statement, since it is being submitted within three months of the enclosed PCT International Search Report dated September 26, 2003. Please apply any other necessary charges, and apply all credits, to Deposit Account No. 06-1050. The following documents related to this supplemental information disclosure statement are enclosed herewith:

- Form PTO-1449
- copies of cited references
- Copy of PCT International Search Report

Applicants respectfully request consideration of the remarks and references set forth herein.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OCTOBER 9 2003
Date of Deposit
Susan Seminich
Signature
SUSAN SEMINICH
Typed or Printed Name of Person Signing Certificate

REMARKS

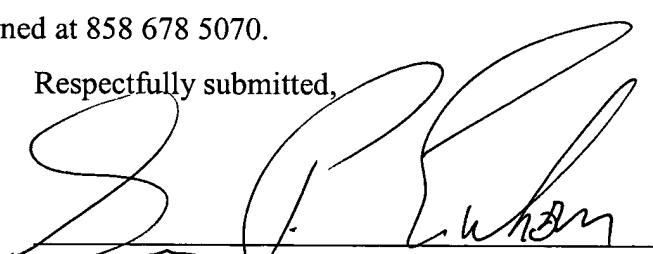
The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

A copy of the International Search Report for PCT/US02/20193, mailed September 26, 2003, a counterpart international application, is enclosed for the Examiner's convenience.

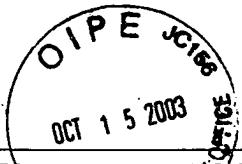
This submission is a supplemental Information Disclosure Statement (IDS). The previous IDS's and PTO Forms 1449 were submitted June 22, 2001 and October 1, 2002..

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 858 678 5070.

Date: Oct. 09, 2003 
Respectfully submitted,
Gregory P. Einhorn
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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09010-007006	Application No. 09/888,224
Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		Applicant Lam, et al.		
		Filing Date June 22, 2001	Group Art Unit 1634	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,830,696	Nov 3, 1998	Short			
	AB	5,939,250	Aug 17, 1999	Short			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AJ						
	AK						
	AL						
	AM						
	AN						

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AO	
	AP	
	AQ	
	AR	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	